February 2, 2004

Date

PTO/SB/21 (03-03) Approved for use through 04/30/2003. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reduction Act of 1995, no corsons are required to respond to a collection of information unless it displays a valid QMB control number. Application Number 08/900,964 TRANSMITTAL Filing Date July 25, 1997 FORM First Named Inventor Cappels, Richard D. Art Unit (to be used for all correspondence after Initial filing) 2673 Examiner Name Nguyen, J. Attorney Docket Number 18 P2106/757 Total Number of Pages In This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication ~ Fee Transmittal Form Drawing(s) to a Technology Center (TC) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC • Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Change of Correspondence Address Status Letter Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request Identify below): **Fax Cover Sheet** Request for Refund Express Abandonment Request CD, Number of CD(s) Information Disclosure Statement Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Nancy R. Simon, Reg. No. 36,930 Individual Signature anc Date February 2, 2004 CERTIFICATE OF TRANSMISSION/MAILING I heroby cortify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office, fax number (703), 872-9308, on this February 2, 2004 Typed or printed Narrdy R. Simon

This collection of information is required by 37 CFR 4.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiallty is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Petent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRÉSS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.

unc

Signature

NO.882

Approved for use through 04/30/2003, OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number		Campleto # Kanam
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC		Separate a concession of the control
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC	Under the Paperwork Reduction Act of 1995, no persons are required to	respond to a collection of information unless it displays a valid OMR control number
		U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERC

FEE TRANSMITTA	Complete ii Rilowii	
LEE INVINOIMILIA	Application Number 08/900,964	
for FY 2003	Filing Date July 25, 1997	
Effective 01/01/2009. Patent fees are subject to annual revision	First Named Inventor Cappels, Richard D.	
	Examiner Name Nguyen, J.	
Applicant claims small entity status. See 37 CFR 1.27	Art Unit 26/3	
TOTAL AMOUNT OF PAYMENT (\$) 110.00	Attorney Docket No. P2106/757	
METHOD OF PAYMENT (check all that apply)	FEE CALCULATION (continued)	
Check Credit card Money Other None		
Deposit Account:	Large Entity Small Entity Fee Fee Fee Fee Fee Fee Description	
Deposit Account 50-1443	Cade (\$) Code (\$)	
Number	1051 130 2051 65 Surcharge - late filing fee or oath	
Account Name Simon & Koerner LLP	1052 50 2052 25 Surcharge - late provisional filing fee or cover sheet	
The Commissioner is authorized to: (check all that apply)	1053 130 1053 130 Non-English specification	
Charge fee(s) indicated below Crodit any overpayments	4004 000PL4004 000P Description publication of CID scients	
Charge any additional fee(s) during the pendency of this application	tion 1804 920 Requisiting publication of SIR pilot to	
Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.	1805 1,840* 1805 1,840* Requesting publication of SIR after Examiner action	
FEE CALCULATION	1251 110 2251 55 Extension for reply within first month	
1. BASIC FILING FEE	1252 410 2252 205 Extension for ropty within second month	
Large Entity Small Entity	1253 930 2253 465 Extension for reply within third month	
Foo Fee Fee Fee Fee Description Fee Paid Code (\$)	d 1254 1,450 2254 725 Extension for reply within fourth month	
1001 750 2001 375 Utility filing fee	1255 1,970 2255 985 Extension for reply within fifth month	
1002 330 2002 165 Design filling fee	1401 320 2401 160 Notice of Appeal	
1003 520 2003 260 Plant filing fee	1402 820 2402 160 Filing a brief in support of an appeal	
1004 750 2004 375 Reissue filing fee	1403 280 2403 140 Request for oral hearing	
1005 160 2005 80 Provisional filing fee	1 1451 1,510 1461 1,510 Petition to institute a public use proceeding	
SUBTOTAL (1) (\$) 0	1453 1,300 2453 650 Petition to revive - unintentional	
2. EXTRA CLAIM FEES FOR UTILITY AND REISSU	UE 1501 1,300 2501 650 Utility issue fee (or reissue)	
Fee from Extra Claims below Fee Pai	· · · · · · · · · · · · · · · · · · ·	
Total Claims 24** = X = 1	1503 630 2503 315 Plant issue fee	
Cipins — -3 — ^ L ~ L	1460 130 1460 130 Patitions to the Commissioner	
Multiple Dependent	1807 50 1807 50 Processing fee under 37 CFR 1.17(q)	
Large Entity   Small Entity Fee Fee   Fee Fee Fee Description	1806 180 1808 180 Submission of Information Disclosure State	
Code (\$)	8021 40 8021 40 Recording each patent assignment par property (times number of proporties)	
1202 18 2202 9 Claims in excess of 20	1809 750 2809 375 Filling a submission after final rejection	
1201 84 2201 42 Independent claims in excess of 3 1203 280 2203 140 Multiple dependent claim, if not paid	,	
1203 260 2203 140 anulippe deportation claim, ir not paid	id 1810 750 2810 375 For each additional invention to be examined (37 CFR 1.129(b))	
over original patent	1801 750 2801 375 Request for Continued Examination (RCE)	
120S 18 220S 9 Reissue claims in excess of 20 and over original patent	1802 900 1802 900 Request for expedited examination of a design application	
SUBTOTAL (2) (\$) 0	Other fee (specify) Terminal Disclaimer 110.00	
SOBTOTAL (2) (3) or number proviously paid, if groater; For Reissues, see above	Reduced by Basic Filling Fee Pald	
SUBMITTED BY (Complete (if applicable)		
Name (Print/Type) Nancy R. Simon .	Registration No. (Attornov/Agent) 36,930 Telephone 408-873-3941	
Streeture V A on a . P Sparet	Deta Sebagan 2 2004	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or rotain a bonofit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Perent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.



## SIMON & KOERNER LLP ATTORNEYS AT LAW

10052 Pasadena Avenue, Suite B, Cupertino, CA 95014 Telephone (408) 873-3941, Facsimile (408) 873-3945 RECEIVED
CENTRAL FAX CENTER

FEB 0 2 2004

## **FACSIMILE COVER SHEET**

To:

United States Patent and Trademark Office Attn: Examiner J. Nguyen in Art Unit 2673

Fax Number:

703-872-9306

From:

Nancy R. Simon

Phone Number:

(408) 873-3941

Fax Number:

(408) 873-3945

Date:

February 2, 2004

Total pages:

<u>18</u> (includes cover sheet)

THIS MESSAGE IS INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY TO WHICH IT IS ADDRESSED, AND MAY CONTAIN INFORMATION THAT IS PRIVILEGED, CONFIDENTIAL AND EXEMPT FROM DISCLOSURE UNDER APPLICABLE LAW. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED RECIPIENT, OR THE EMPLOYEE OR AGENT RESPONSIBLE FOR DELIVERING THE MESSAGE TO THE INTENDED RECIPIENT, YOU ARE HEREBY NOTIFIED THAT ANY DISSEMINATION, DISTRIBUTION OR COPYING OF THIS COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE, AND RETURN THE ORIGINAL MESSAGE TO US AT THE ABOVE ADDRESS VIA THE U.S. POSTAL SERVICE. THANK YOU.

Message: RE: U.S. Patent Application 08/900,964

An Amendment (13 pages), a Transmittal form (1 page), a Fee Transmittal form (2 pages), and a Terminal Disclaimer (1 page) for the above-identified patent application are attached for your review. If you have any questions, please do not hesitate to contact me.

Sincerely,

Nancy R. Simon